

Applicant: Scott Waterman et al.

Title: DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN ASSOCIATING INTELLIGENCE WITH CONTENT

Docket No.: 1546.009US1

Filed: October 31, 2001

Examiner: Unknown



Serial No.: 10/004264

Due Date: N/A

Group Art Unit: 2176

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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We are transmitting herewith the following attached items (as indicated with an "X"):


☒ A return postcard.

☒ A Supplemental Information Disclosure Statement (2 pgs), Form 1449 (2 pgs), and copies of 22 cited documents.

If not provided in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.


Customer Number 21186

By: 
Atty: Suneel Arora
Reg. No. 42,267

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CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 30 day of January, 2004.

JASON D. SMITH
Name


Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
(GENERAL)



#7

S/N 10/004264

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Scott Waterman et al.	Examiner:	Unknown
Serial No.:	10/004264	Group Art Unit:	2176
Filed:	October 31, 2001	Docket:	1546.009US1
Title:	DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN ASSOCIATING INTELLIGENCE WITH CONTENT		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :10/004264

Filing Date: October 31, 2001

Title: DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN ASSOCIATING INTELLIGENCE WITH CONTENT

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The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

SCOTT WATERMAN ET AL.


By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

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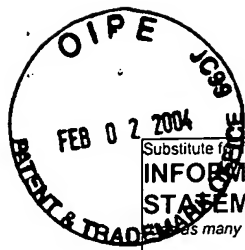
(612) 373-6951

Date January 29, 2004 By 
Suneel Arora
Reg. No. 42,267

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JASON D. SMITH
Name


Signature



Substitute for Form 1449A/PTO
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Complete if Known

Application Number	10/004,264
Filing Date	October 31, 2001
First Named Inventor	Scott A. Waterman
Group Art Unit	2176
Examiner Name	Unknown

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Attorney Docket No: 1546.009US1

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US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-6,434,550	08/13/2002	Warner, D., et al.	707	3	04/14/2000

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	WO-97/38378	10/16/1997	Kirk, Thomas	G06F	17/30	
	WO-99/18526	04/15/1999	Ferguson, D., et al.	G06F 17/30	17/24	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"(Kanisa) Intelligized Business Processes", (1998),12 pgs	
		"About the Information Manifold", <u>web page</u> , 1 pg.	
		"FAQ - Connect Care by ProAmerica", (1998),3 pgs	
		"IBM Version 2 - Intelligent Miner for Data", (1998),2 pgs	
		"IBM Version 2.1 - Intelligent Miner for Data", (1998),2 pgs	
		"IBM's Data Mining Technology", (1996),25 pgs	
		"Industry Solutions: Markets and Applications", (11/19/1998),3 pgs	
		"Kana Communications - Success Story: eBay", (Nov. 1998),4 pgs	
		"Kana Communications - Success Story: Netscape", 4 pgs	
		"ProAmerica Connect Care - The First Customer Care Software", 12 pgs	
		"ProAmerica Redefines Customer Care with Visual Warehouse", <u>IBM</u> , (Feb. 1998),2 pgs	
		"Quality Connect", <u>Web Page</u> , (1998),	
		"Sales Connect", <u>Web Page</u> , (1998),	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

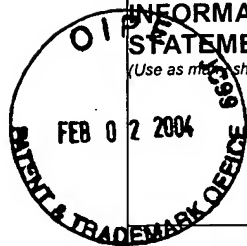
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number 10/004,264**Filing Date** October 31, 2001**First Named Inventor** Scott A. Waterman**Group Art Unit** 2176**Examiner Name** Unknown**RECEIVED**

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Sheet 2 of 2

Attorney Docket No: 1546.009US1

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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"SCM - Theory of Customer Care, ProAmerica", (1996), 28 pgs	
		"Support Connect", <u>Web Page</u> , (1998),	
		"Survey Connect", <u>Web Page</u> , (1998),	
		"Using the Intelligent Miner in a Simple Scenario", <u>Version 2.1.1, IBM</u> , (April 1998), 35 pgs	
		MAGENNIS, MARK, "The Potential and Actual Effectiveness of Interactive Query Expansion", <u>Annual Int'l. ACN-SIGIR Conf. on Research and Development in Information Retrieval</u> , (1997), 324-332	
		STODDER, DAVID, "Toward Universal Business Intelligence: An Interview with Janet Perna", (1997), 6 pgs	

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